



10/528482
FL1/GB 2003 / 004008

Rec'd PCT/PTO

21 MAR 2005

INVESTOR IN PEOPLE

PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

REC'D 30 OCT 2003

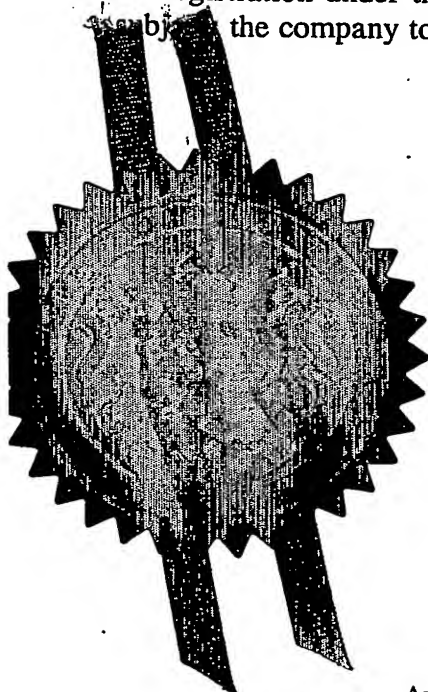
WIPO PCT

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



Signed *Andrew*

Dated 6 October 2003

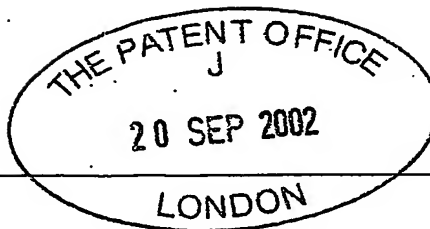
BEST AVAILABLE COPY

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The Patent Office

Cardiff Road
Newport
South Wales
NP9 1RH



1. Your reference P34499GB/GVR

2. Patent application number

(The Patent Office will fill in this part)

20 SEP 2002

0221920.2

3. Full name, address and postcode of the or of each applicant (underline all surnames)

Ricardo Consulting Engineers PLC
Bridge Works
Shoreham-by-Sea
West Sussex, BN43 5FG

00687210001

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

GB

4. Title of the invention

Emission Reduction Apparatus

5. Name of your agent (if you have one)

Kilburn & Strode
20 Red Lion Street
London
WC1R 4PJ

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

Patents ADP number (if you know it)

125001

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number
(if you know it)

Date of filing
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

- a) any applicant named in part 3 is not an inventor, or
 - b) there is an inventor who is not named as an applicant, or
 - c) any named applicant is a corporate body.
- See note (d))

YES

Patents Form 1/77

Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description 7

Claim(s) 2

Abstract -

Drawing(s) 2 X

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

11.

I/We request the grant of a patent on the basis of this application.

Signature

G. H. Roberts

Date 20.9.02

12. Name and daytime telephone number of person to contact in the United Kingdom

Gwilym Roberts
Tel: 020 7539 4200

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

Emission Reduction Apparatus

The invention relates to an emissions reduction apparatus for example for reducing noxious emissions from a vehicle engine exhaust.

5

One known apparatus of this type comprises a NOx trap for example of the type manufactured by Johnson Mathey of the United Kingdom. The trap comprises an exhaust inlet, an outlet and a filter between them having, on an outlet side, a NOx wash coat. The filter can be, for example, a porous ceramic such as ceramic cordierite and the wash coat a NOx absorbent, for example a precious metal and an alkaline metal dispersed into an alluminous port. NOx traps of this type in fact carry out a dual function; the porous ceramic acts as a particulate filter for particulate matter in the exhaust stream and the wash coat traps NOx as well as HC and CO.

15

In use the NOx trap needs to regenerate frequently, for example every 60 to 90 seconds at normal operating temperatures although this will be much shorter at low or very high temperatures. Regeneration is typically achieved by injecting fuel into the exhaust stream which induces an exothermic reaction in the filter whereby the trapped particulate material oxidises. The remaining fuel reacts with the absorbed NOx regenerating the wash coat. The regeneration period is typically 2 to 4 seconds. A trap of this type is particularly suited to diesel engine exhausts as it is suited to the lean air-fuel ratio of the exhaust gas, and is often referred to as a lean NOx trap (LNT).

25

European patent application number EP1055806 discusses an enhanced system including two NOx traps in parallel exhausts paths. In operation, at start up a first path is opened and a second path closed and the exhaust is hence diverted through the corresponding first NOx trap. The system identifies when the

absorption capacity of the first trap is approached and enters a regeneration routine. According to this routine a second valve opens allowing the exhaust to pass into the second path, and the first valve is partially closed such that the majority of the exhaust flow is through the second path and NOx path. In practice a flow ratio of around 95% to 5% is observed. The remaining flow in the first path is sufficient to carry injected fuel to induce regeneration in the first trap. When regeneration is detected the first valve is fully closed and fuel injection is ceased, and the first trap then remains idle until the second trap approaches its absorption capacity. This process is repeated providing the advantage exhaust purification is continually carried out, switching from one trap to the other when regeneration is required. In addition the amount of fuel injected in the regenerating leg is reduced because the exhaust flow is low and the amount of oxygen in that leg therefore low. Also it is easy to achieve a high temperature from a fuel induced exothermic reaction in the regenerating leg during regeneration because the mass airflow is low. Low space velocity also stops the completeness of regeneration by ensuring sufficient residence time for full NOx conversion to N₂.

A problem that arises with a parallel path system such as this is that, in practice asymmetric operation is observed such that one of the two arms is favoured and tends to spend proportionally more time in the emissions reduction mode.

The invention is set out in the appended claims. The invention solves various problems with the known arrangement. In particular the observed asymmetry of operation is exploited by having one emissions trap significantly smaller than the other such that it is effectively only carries out emissions reduction whilst the larger trap is regenerating. This gives rise to significantly reduced costs as the smaller capacity leg has a lower total mass loading and reduced size. Similarly it allows reduced packaging volume. Yet further it has been

observed in the known systems that the regenerating leg after completion of the regeneration cools rapidly before it is switched over to trapping so that temperature management can be a significant concern. In the present case the larger trap only has a short period idle, if at all, after regeneration such that minimal temperature drop is observed and hence improved efficiency is obtained. It will be appreciated that the emissions reduction capacity can be reduced by varying any one or more of a number of parameters including physical size, length, diameter or volume, physical or thermal mass, chemical formulation or emissions reducing material.

Yet further there is a reduced fuel consumption penalty. In known symmetric parallel systems over-injection of fuel may be required in the regenerating leg to keep the temperature up which can overall increase fuel consumption. This problem is clearly reduced in the present invention as a result of the provision of the smaller trap and the generally improved temperature management. In fact the reduced size of the smaller trap requires reduced added fuel during regeneration as there is less residual oxygen in the leg to remove.

In the preferred embodiment according to which the smaller trap has a lower temperature formulation, this matches the temperature regime in the system according to which the smaller trap will tend to remain at a lower temperature allowing better conversion efficiency.

Embodiments of the invention will now be described, by way of example, with reference to the drawings of which:

Fig. 1 is a schematic view of an emission reduction apparatus according to the present invention; and

Fig. 2 is a schematic view of a NO_x trap including a particulate filter.

Referring to Fig 1, an engine exhaust path 10 is split into two parallel paths 12, 14. Each path includes a respective valve 16, 18, operation of which is controlled by a respective control line 20, 22. Downstream of each valve 16, 18 a fuel injection port 22, 24 respectively is provided downstream of which are respective NOx traps 26, 28. Paths 12 and 14 continue after the respective NOx traps to any appropriate exhaust outlet 30, 32.

The general configuration of a NOx trap including a particulate filter will be well known to the skilled reader and so only a very brief description is provided here with reference to Fig. 2. In particular the trap designated generally 40 includes a plurality of passages 42, 44, 46, 48, 50. The trap has an inlet end designated generally A and an outlet end designated B and alternate passages have their inlet end closed and their outlet end open and vice versa. For example in the embodiment shown passages 42, 46, 50 have their ends closed at the inlet end A and their ends open at the inlet end B whilst passages 44 and 46 have their ends open at inlet end A and their ends closed at inlet end B. As a result exhaust gas entering passages 44 and 48 at inlet end A is forced to pass through the walls 52 between the passages in order to exit from passages 42, 46, 50. As discussed above the walls 52 are formed of a porous material to trap particulate matter and coated with a NOx wash coat to absorb NOx.

It will be seen that, according to the invention, the NOx traps 26, 28 provided on respective paths 12, 14 are of different capacities in a ratio in the region of 5:1 to 10:1. In addition, as discussed in more detail below in a preferred embodiment the smaller of the traps 28 may also have a lower temperature formulation, i.e. be designed to operate most efficiently at a lower temperature than the larger trap 26.

In operation the path 14 is initially closed by valve 18 and valve 16 is open diverting flow through path 12 and NOx trap 26. When the trap 26 is fully loaded or approaching fully loaded the regeneration phase is entered according to which valve 18 is opened and valve 16 partially closed so as to divert roughly 95% of the exhaust flow through path 14. Fuel is injected at port 22 and regeneration takes place at the trap 26. Meanwhile the trap 28 operates normally. Once the trap 28 is fully regenerated fuel injection is ceased and valve 16 fully closed such that all of the exhaust stream is diverted through the smaller trap 28. When full loading of the smaller trap 28 is sensed the operation is reversed.

The sensing and controlling steps during operation of the cycle are well-known to the skilled reader and are not discussed in detail here. For example appropriate loading and regenerating sensors can be provided on the traps 26, 28 or appropriate control logic can be implemented in an engine control unit monitoring, for example engine load or engine output, or trap temperature to assess when a full load or full regeneration are achieved. Valves 16 and 18 are then controlled by the control system such as the ECU via a control line 20 and 22, to ensure their operation at the correct times.

It will be seen that the asymmetrical capacity of the two traps gives rise to a corresponding asymmetrical operating cycle in which the larger trap tends to remain operative for a significant longer time than the smaller trap. In fact the smaller trap need only remain operative for the length of time it takes the larger trap to regenerate although its operative period should be designed to be longer than the longest possible regeneration period of the larger trap to avoid mis-operation. There will also be a temperature asymmetry as the temperature of the larger trap will build up during its emissions reduction period and also

during the correspondingly longer regeneration period. As a result the smaller trap benefits from a lower temperature formulation so that its storage capacity is enhanced at lower temperature.

5 As a result the invention exploits the observed asymmetry of operation in known physically symmetrical systems. It is believed that the asymmetry in the known systems arises for the following reason: as the majority of the exhaust flow goes through one arm whilst the other arm regenerates, the trapping arm remains hot whilst the regenerating arm cools rapidly following
10 the short regeneration. When the valves switch the exhaust gas flows through a comparatively cold trap. Bearing in mind that the NO_x storage capacity of a trap is very temperature dependent, diverting a NO_x rich exhaust stream onto a cool trap results in rapid saturation of the trap (the NO_x storage capacity of a trap shows a peak somewhere in the 300 to 450°C range depending on
15 formulation); at low temperature (and very high temperatures) the storage capacity drops off sharply.

Because the cold leg saturates so rapidly the system switches back to the other leg before its temperature can come up to its optimum for storage. As the
20 switch back time has been so short, by the same token the other leg is still hot and so still has high trapping efficiency. Meanwhile the cooler leg undergoes regeneration but because the amount of trap NO_x is low the regeneration is short and less fuel is injected to do this, hence less of an exothermic reaction and less heating. Conversely when the other leg regenerates, as a higher
25 proportion of NO_x has been stored, a higher regeneration temperature is reached. The net effect is that very quickly a temperature asymmetry arises in operation which effectively feeds back, lengthening the operation time of one leg and reducing that of the other, even though both traps are identical.

It will be appreciated that the system of the present invention can be adapted in various ways without departing from the inventive concept. For example it can be applied to any type of emission reduction apparatus which has a temperature dependent emission reduction or regeneration regime.

5

Although discussion is directed in the specific embodiment described above towards a NOx trap including a particulate filter it will be appreciated that the invention will work equally well with a NOx trap excluding such a filter and including a NOx reduction component only.

10

The respective capacities of the two traps can be varied in terms of the volume or the temperature or other reduction formulation of the traps. Although a control scheme is described above according to which paths are switched only when the corresponding trap requires regeneration, instead the path can be switched back from the smaller trap to the larger trap path as soon as the larger trap has regenerated, that is, before the smaller trap has fully loaded, keeping the larger trap at an optimum operating temperature.

15

Claims

1. An emission reduction apparatus for an engine exhaust, the apparatus comprising first and second exhaust paths and first and second regenerable
5 emission reduction elements in the respective paths in which the first emission reduction element has a greater emission reduction capacity than the second emission reduction element.
2. An apparatus as claimed in claim 1 in which the first and second emission
10 reduction elements have at least one of a heat dependent regeneration regime and a heat dependent emission reduction regime.
3. An apparatus as claimed in claim 1 or claim 2 in which the emission
15 reduction element comprises a NOx trap.
4. An apparatus as claimed in claim 3 in which the NOx trap includes a
particulate filter.
5. An apparatus as claimed in any preceding claim in which the second
20 emission reduction element has a lower operative temperature formulation than the first emission reduction element.
6. An emission reduction system including an apparatus as claimed in any
preceding claim and a controller for controlling operation of the apparatus.
25
7. An engine including an exhaust providing an exhaust path and the system as
claimed in claim 6 provided in the exhaust path.
8. An engine as claimed in claim 7 comprising a diesel engine.

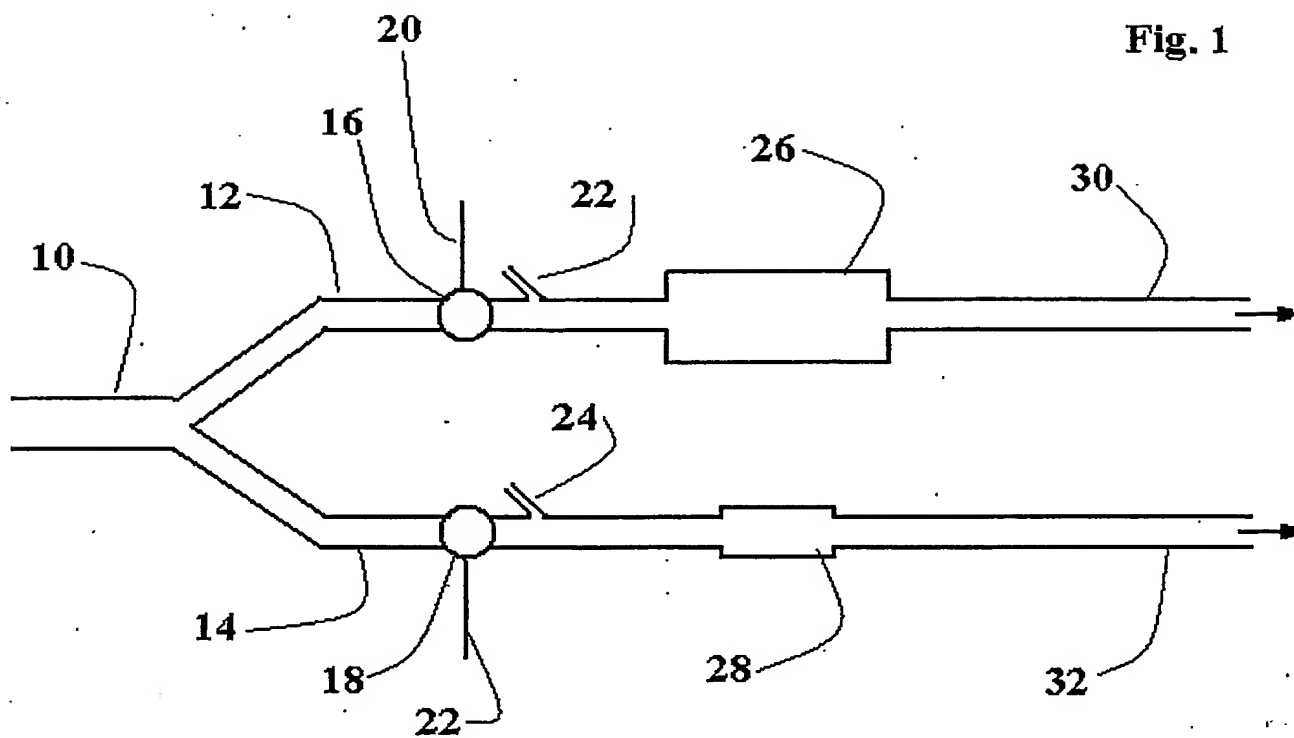
9. A vehicle including an engine as claimed in claim 7 or claim 8.

5 10. A method of reducing engine exhaust emissions comprising switching an engine exhaust stream between first and second engine exhaust paths having first and second regenerable emission reduction elements therein in which the exhaust stream is switched to a second path during regeneration of the regenerable element in the first path and then switched back to the first path when regeneration is complete.

10

11. An apparatus system engine vehicle or method substantially as herein described with reference to the drawings.

Fig. 1



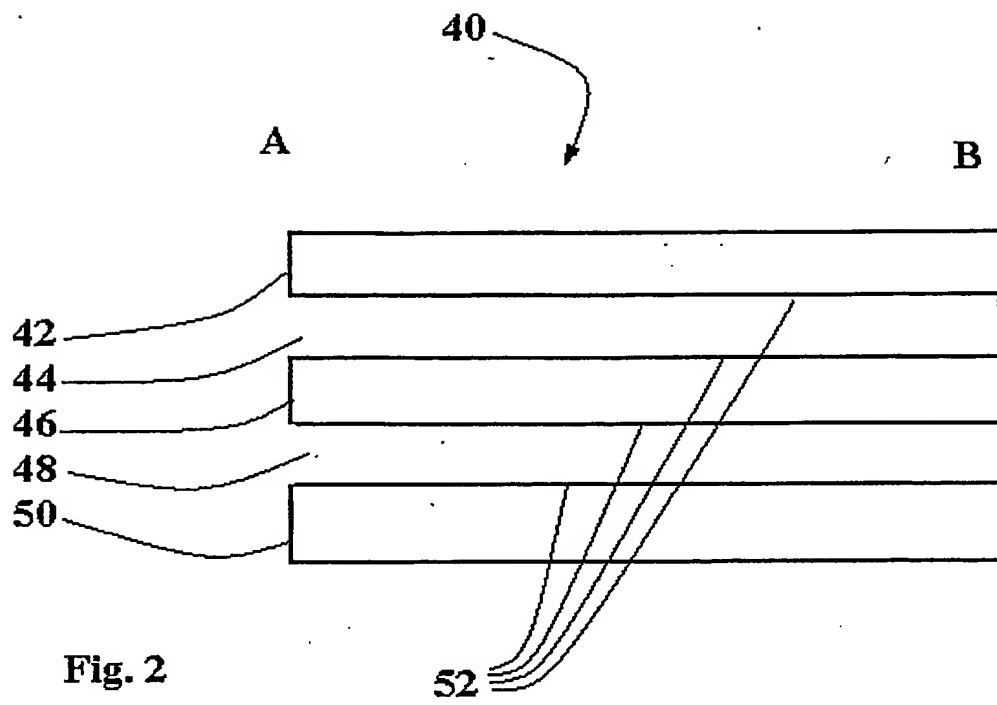


Fig. 2

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☒ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.